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TRADENTE APPLICATION OF:)
ALEXANDER K. MILLS)
FOR: Device and Method for Noninvasive)
Continuous Determination of	
Physiologic Characteristics)
SERIAL NO: 10/773,596)
FILED: February 6, 2004)
ART UNIT NO: 3736)
EXAMINER: To be assigned)
DOCKET NO: WT-02-004DIV2)

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

Commissioner for Patents BOX DD P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. §1.97 - 1.98 and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56, submitted herewith is information of which Applicant is aware and that Applicant believe may be material to the examination of this application.

The Information Disclosure Statement submitted herewith is being filed *within* three months of the filing date of this national application; or the date of entry of the national stage as set forth in § 1.491 in an international application; or before the mailing date of the first Office action on the merits, whichever event occurs last. Accordingly, no fee or certificate is believed to be required.

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List of Sections Forming Part of Information Disclosure Statement

- X Preliminary Statement under 37 C.F.R. § 1.97(h)
- X Copies of Listed Information Items Accompanying Statement
- X Identification of Person(s) Making This Information Disclosure Statement
- X Form PTO/SB/08A (formerly Form PTO-1449)

Preliminary Statement under 37 C.F.R. § 1.97(h)

The Information Disclosure Statement Under 37 C.F.R. §1.56 is not to be construed to be an admission that the information set forth in the statement is, or is considered to be, material Ito patentability as defined in §1.56(b). Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

Copies of Listed Information Items Accompanying Statement

Legible copies of all items listed in Forms PTO/SB/08A and 08B (formerly Form PTO-1449) accompany this Information Disclosure Statement.

Identification of Person Making the Information Disclosure Statement

The person making this statement is

(a)	the inventor(s) who signs below
[Name of	of Inventor]:
(b)	an individual associated with the filing and prosecution of this application (37 CFR 1.56(c))
[Name of	of Inventor]:

Information Disclosure Statement ATTORNEY DOCKET NO. WT-02-004DIV2

(c) <u>XX</u>	the attorney who signs below on the basis of the information:
	XX supplied by the inventor(s).
	XX supplied by an individual associated with the filing and
	prosecution of this application. (37 CFR 1.56(c)).
	XX in the attorney's file.
Dated: 64154	Ву:
	Ralph C. Francis
	Registration No. 38,884
FRANCIS LAW GROUP	/
1808 Santa Clara Avenue	
Alameda, CA 94501	
Tel. No. (510) 769-9800	

I hereby certify that this document is being deposited with the United States Postal Service on this date 6/4/04 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL994367271 U.5</u> addressed to the Commissioner for Patents, Box DD, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449A/PTO				Complete if Known			
				Application Number	16/.773,596		
INFO	PRMATION	N DIS	CLOSURE	Filing Date	FEBRUARY 6, 2004		
STATEMENT BY APPLICANT				First Named Inventor	FEBRUARY 6, 2004 Alexander K. Mills		
				· Group Art Unit	3736		
	(use as many sh	eets as	necessary)	Examiner Name			
Sheet	1	of	2	Attorney Docket Number	WT-02-004DIV2		

			U.S. PATENT DOCL	JMENTS	
Examiner Initials*	Cite No.1	U.S. Patent Document Kind Code² (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pagas, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4676253	Newman et al.	06-30-1987	
		4735213	Shirasaki	04-05-1988	
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	FOREIGN PATENT DOCUMENTS										
	011-	F	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,				
Examiner Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (<i>if known</i>)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	Le			
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Examiner	Date	
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STATE	MENT E	A YE	PPLICANT	First Named Inventor	ALEXANDER K. Mills			
				· Group Art Unit	3736			
(us	e as many she	eets as	necessary)	Examiner Name				
Sheet	2	of	2	Attorney Docket Number	WT-02-0040112			

				U.S. PATENT DOCUM	MENTS	
Examiner Initals*	Cite No.1	Mumbor	ment Code² nown)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-OD-YYYY	Pages, Columna, Lines, Where Ralavant Passages or Relevant Figures Appear
		6334065		Al-Alietal.	12-25-2001	
		6334850		Amano et al.	01-01-2002	
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	FOREIGN PATENT DOCUMENTS											
Evaminar	Examiner Cite No.1		Foreign Patent Doo		Name of Patentee or	Date of Publication of	Pages, Columns, Lines, Where Relevant					
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